

# Coping with medical error: a systematic review of papers to assess the effects of involvement in medical errors on healthcare professionals' psychological well-being

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## ABSTRACT

**Background** Previous research has established health professionals as secondary victims of medical error, with the identification of a range of emotional and psychological repercussions that may occur as a result of involvement in error.<sup>2–3</sup> Due to the vast range of emotional and psychological outcomes, research to date has been inconsistent in the variables measured and tools used. Therefore, differing conclusions have been drawn as to the nature of the impact of error on professionals and the subsequent repercussions for their team, patients and healthcare institution. A systematic review was conducted.

**Methods** Data sources were identified using database searches, with additional reference and hand searching. Eligibility criteria were applied to all studies identified, resulting in a total of 24 included studies. Quality assessment was conducted with the included studies using a tool that was developed as part of this research, but due to the limited number and diverse nature of studies, no exclusions were made on this basis.

**Results** Review findings suggest that there is consistent evidence for the widespread impact of medical error on health professionals. Psychological repercussions may include negative states such as shame, self-doubt, anxiety and guilt. Despite much attention devoted to the assessment of negative outcomes, the potential for positive outcomes resulting from error also became apparent, with increased assertiveness, confidence and improved colleague relationships reported.

**Conclusion** It is evident that involvement in a medical error can elicit a significant psychological response from the health professional involved. However, a lack of literature around coping and support, coupled with inconsistencies and weaknesses in methodology, may need to be addressed in future work.

## INTRODUCTION

Involvement in errors has been found to elicit a significant emotional response from health professionals.<sup>1</sup> While the impact of error on patients is well documented, there are only two reviews that discuss the impact of medical error on professionals.<sup>2–3</sup> Both fail to provide an adequate discussion of findings relating to coping or outcomes beyond the immediate error event. There remains a need to identify factors that moderate the emotional effects of an error on the individual, and to understand how individuals cope with being involved in an error in the short- and longer term. It may then be possible to develop effective support

mechanisms that serve the needs of different people and reduce the emotional burden associated with making an error. Therefore, the research questions posed for this review were:

1. What is the impact of being involved in a medical error on the health professional?
2. How do health professionals cope in the short- and longer term when they have been involved in a medical error?
3. Are there any factors (referred to below as moderating factors) that influence the immediate response to error and/or the way in which individuals cope?

## METHODS

### Search identification and selection

Electronic databases (Web of Science, Medline 1950–2009, PsychInfo 1967–2009, Science Direct, The Cochrane Library, Embase 1980–2009) were searched using terms that covered both attitudes towards making errors, as well as the responses following such errors. Seven distinct searches were conducted to retrieve all of the relevant information as displayed in table 1. An information scientist checked the search strategy to ensure the capture of all relevant articles. All searches were limited to studies on humans, written in the English language and published between 1980 and 2009. The reference lists of all relevant articles identified were hand-searched.

The Web of Science returned 16 of the included studies with a further four studies from the remaining databases (Medline/Pubmed, PsychInfo 1980–2009, Science Direct, The Cochrane Library, Embase). Reference list searches identified all additional articles.

### Eligibility criteria

Inclusion criteria:

- types of error: the error studied must fit the definition:

‘the failure of a planned action to be completed as intended or the use of a wrong plan to achieve an aim without the intervention of an unforeseen event’ (Reason 1990)

- types of participants: given the core aims of the review, all studies were required to include health professionals and trainees from any specialities as participants in at least part of the sample.
- research aims: studies must focus largely on the psychological or emotional outcomes for health professionals in the event of an error.

**Table 1** Search terms

Search no	Search history
1	coping with medical (error or mistake or mishap or blunder or adverse event or incident)
2	respond to medical (error or mistake or mishap or blunder or adverse event or incident)
3	attitude towards medical (error or mistake or mishap or blunder or adverse event or incident)
4	commit medical (error or mistake or mishap or blunder or adverse event or incident)
5	perception of committing medical (error or mistake or mishap or blunder or adverse event or incident)
6	making medical (error or mistake or mishap or blunder or adverse event or incident)
7	recover from making medical (error or mistake or mishap or blunder or adverse event or incident)

- ▶ study design: studies must employ a quantitative, qualitative or mixed design.
  - ▶ settings: studies must take place in primary, secondary, tertiary and community care settings; this does not include alternative/complementary therapy settings or dentistry.
- Articles were excluded if they were not:
- ▶ empirical studies and original studies;
  - ▶ published in English;
  - ▶ published in peer-reviewed journals;
  - ▶ published between 1980 and 2009; prior to 1980, this issue was not explicitly acknowledged, and so may be difficult to depict objectively from papers.

### Method of review and data extraction

Results were merged using reference-management software (Endnote), and duplicates removed. All articles were checked by one reviewer [RS] against the inclusion and exclusion criteria. Full texts of the retained papers were then obtained, and these papers were then assessed against the eligibility criteria. The following data were then extracted from each paper:

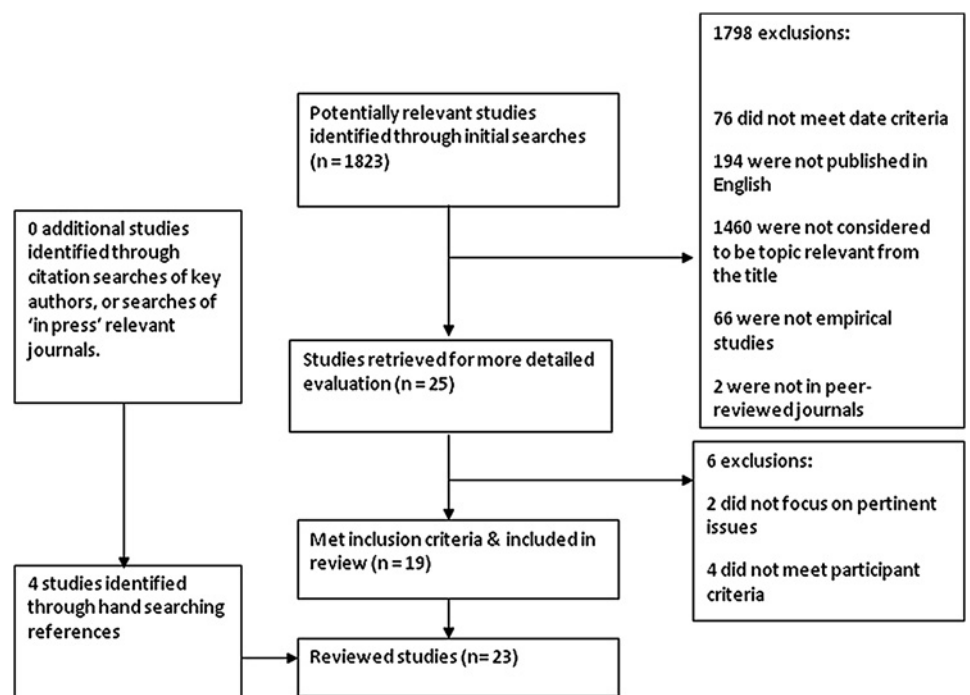
investigator(s), publication date, study design, outcome measures, key findings and findings related to the emotional response and coping after involvement in a medical error. The extracted articles<sup>4</sup> were then checked by the second and third reviewers (RL, GA) against the inclusion and exclusion criteria. The inclusion of 20 articles, with a further four articles from the hand search, was agreed. Figure 1 shows reasons for exclusion.

The heterogeneous measures and research questions excluded the use of a meta-analysis of this literature. Therefore, the three-stage UK Evidence for Policy and Practice Information and Co-ordinating Centre (EPPI) approach for synthesis of diverse studies was not considered appropriate here.<sup>5</sup> Findings were synthesised across all the papers in a narrative empirical synthesis; an alternative EPPI approach which has been used in other similar reviews.<sup>6</sup> Data that addressed our specific research questions were extracted from each paper to create themes and categories.

### Assessment of study quality

Assessing the quality of evidence from both qualitative and quantitative studies was challenging. Based on earlier approaches, including criteria developed by EPPI-centre, a set of 17 quality assessment criteria had been developed (see appendix 1).<sup>7–10</sup> However, initial attempts by different members of the author team to apply these criteria highlighted some difficulties.

These included the difficulty in assessing criteria on a dichotomous scale (yes/no), variability in the interpretation of the criteria by reviewers, omission of key quality issues in methodology and analytical strategy, and suitability of wording in relation to qualitative work. Dichotomous responses failed to distinguish between studies with strong and weak coverage of an issue which affected inter-rater reliability—for example, assessment of valid and reliable outcomes could incorporate sophisticated statistical assessment or merely face-validity checks. For some criteria, for example ‘Clear description of sample,’ key quality issues, such as the adequacy of the sample size, were omitted. Finally, the reliability of the analytical process in qualitative work was not considered adequately, with

**Figure 1** Flow diagram of search and retrieval process.

only the validity of results mentioned in the list of criteria. Following consultation with experts in the field (eg, York Centre for Reviews and Dissemination) we were unable to identify a more sophisticated quality assessment measure, which led to the adaptation of the original 17 criteria in the EPPI tool for use in this review.

Studies were therefore assessed against the adapted criteria, each scored on a four-point scale. To reduce the need for expert raters, the requirements for each score were clearly defined (see appendix 2). Using this method, each paper was given a quality score, and an overall score for the body of evidence was produced. One reviewer (RS) assessed all studies against the criteria, and there was substantial agreement ( $\kappa=67.8\%$ ) between reviewers (RS, RL, PG) on a random sample of papers.<sup>5 11</sup>

Studies were consistently rated highly on descriptions of methods yet generally received low scores on criteria related to study design and reasons for the choice of measure(s). Without a clear theoretical basis, and in the absence of an obvious choice of measurement, it appeared that measures were often selected with no clear justification. The fact that the adequacy of sample size was not considered raised questions about the power of some studies. Participants were rarely involved in study design; the changes made as a result of pilot work were not made in respect of participant views (see appendix 3 for a comparison table of the different types of study).

## RESULTS

The electronic search yielded 1925 studies, and following the process described above, 24 studies were included in the review (see figure 1). The key characteristics of the reviewed papers are displayed in table 2.

### Key findings

#### Response and impact

Addressing research question 1, a state of significant emotional distress, for example, shame, guilt, fear, panic, shock and humiliation, immediately following an error was consistently raised in all papers. Broader psychological responses, such as self-doubt, loss of confidence and altered perspectives of patient and colleague relationships, were also frequently reported.<sup>13–17</sup> The impact of making an error was widespread. Common states of emotional distress, including anxiety, depression and guilt, often transferred into personal life.<sup>13–15 18–21</sup> In the workplace, negative outcomes included the loss of professional reputation, distrust and reduced goodwill towards patients, and detachment from patients.<sup>15 16 22</sup> Some authors described positive outcomes in practice resulting from an error, although often somewhat briefly. For example, improvements in practice occurred as a result of error including personal practice changes, corrective actions within the department and hospital-wide actions, often relating to increased communication with other departments.<sup>17 23</sup> It was reported that 70% of their sample of physicians described improved professional relationships following discussion of an error, and that improved communication following an error was due to increased assertiveness in the workplace.<sup>24 25</sup> It is evident that the psychological response to error could be mediated by the error outcome, subsequent patient relations, team response and institutional handling of the error. Greater intensity of emotional distress resulted from poorer patient outcomes, with higher self-blame also found in these cases.<sup>15 19 26</sup> A linear relationship was also described between error severity and intensity of emotional outcome for the professional, and increased emotional burden when staff considered the institutional handling of error to be poor.<sup>4 17</sup> Reactions of managers and senior staff were described as

inconsistent, and even disapproving or aggressive, with staff experiencing interrogation, blame or occasional threats.<sup>14 27</sup> However, retaining good patient relationships or good support from colleagues following an error had a positive effect, increasing the likelihood of a good emotional outcome for the health professional and increasing their confidence.<sup>15 16</sup> This pattern of response is reflected in the first three stages of a six-stage response and recovery trajectory from the moment the error is realised to the influence it has in ongoing working life.<sup>17</sup>

#### Coping and learning

The latter three stages of the recovery trajectory proposed by Scott *et al* advance to the disclosure and the professional implications, support seeking and outcomes for an individual's longer-term healthcare career.<sup>17</sup> This review revealed that many more studies have explored the attitudes and immediate responses to error,<sup>24</sup> rather than the management, coping or longer-term outcomes of error beyond brief references to these issues,<sup>8</sup> but the findings identified around coping reflected these three areas.

The limited evidence relating to research question two suggests that there was a lack of support for the individual following an error, and limited self and organisational learning. Emotional support was often sought from close friends and family, but discussing details of the event with colleagues was found to be invaluable.<sup>17 19 28</sup> Despite this, support in the workplace was reported to be insufficient and, at times, even detrimental.<sup>13 14 27 29</sup> Error outcome was identified as a possible moderator of the emotional response and the type of coping following an error. Muller and Ornstein demonstrated a positive relationship between the severity of the error outcome and the emotional turmoil that followed, suggesting that coping was more difficult after a poor outcome.<sup>4</sup> Conversely, Crigger and Meek reported better coping where an adverse event had occurred that was accepted, disclosed and resolved.<sup>30</sup> They suggested that an unreported near-miss scenario may be potentially more damaging because the individual might rationalise the event internally, causing distress in the longer term, as the issue is never resolved. Despite limited discussion of near-miss data, this information could be invaluable in informing a strategy for learning. Using the experience of an error constructively for learning within teams and to aid coping was a recurring finding.<sup>16 17 24 29</sup> Kroll *et al* identified constructive feedback in the formal discussion of error as a key component in the effective use of error for learning.<sup>16</sup> Scott *et al* make an important distinction between 'surviving' and 'thriving' in recovery from an error event. Individuals may function at the expected performance level but continue to be disturbed by the error event, where others may experience enhanced performance as a result of actively engaging in improving practice after a negative clinical incident.<sup>17</sup> The importance of handling error in the correct way with a trainee sample was also highlighted, that is, avoiding punitive action or negative responses.<sup>21 31</sup> Wolf *et al* suggested that the inadequate management of error resulted in less learning, and poorer coping going forward.<sup>27</sup>

#### Attitudes in the context of culture

Attitudes towards error are important for error management at different levels of the organisation and are developed and maintained by the healthcare culture. Several papers therefore explicitly studied the socialisation of those in medical training.<sup>9</sup> It was suggested that the ideology of internal accountability and infallibility resulted in profound self-doubt and guilt in medical trainees.<sup>18 31</sup> Similarly, other key factors that influenced attitudes towards error were highlighted in qualitative work and

**Table 2** Summary characteristics of the reviewed studies

Investigator(s)	Date	Location	Theoretical underpinning	Main focus	Sample and setting	Design	Outcomes	Type of error
Aasland and Forde, R.	2005	Europe (Norway)	None explicitly stated. Based on 'defensive' and 'authoritarian' medical culture influencing openness about medical errors.	How many and what kinds of doctors have experienced medical errors leading to serious patient injury? How does this influence their life and how do they feel about accepting criticism?	1318 doctors Various specialities	Quantitative Cross-sectional Postal questionnaires	Self-report Immediate impact	Adverse events leading to serious patient injury
Amdt	1994	Europe (Germany, England and Scotland)	Methodological framework of interpretative research design using discourse analysis.	How do nurses feel when they make, discover or are involved in an error? What decisions do they have to make and how does this influence their life?	32 ward sisters or senior nurses (samples of 6-12 for each data source) Hospital	Qualitative Cross-sectional Unstructured interviews, focus groups, written reports and case proceedings.	Self-report Immediate impact	All medication errors.
Christensen <i>et al</i>	1992	USA (Oregon)	None explicitly stated. Based on prior literature suggesting that mistakes may affect the physician particularly in those associated with serious patient harm.	To explore recollections and feelings about physicians' mistakes	11 general internists and medical subspecialists Hospital	Qualitative interview Cross-sectional Semistructured interviews	Self-report Immediate impact	All perceived medical mistakes
Crigger and Meek	2007	USA	Methodological framework—grounded theory approach	To explore the psychosocial process when nurses perceive they have made a mistake and reconciliation of self-esteem and professional image after this	10 nurses Hospital	Qualitative Cross-sectional Semistructured interviews and free text responses to statements	Self-report Immediate impact	All mistakes in hospital-based practice
Cunningham	2004	New Zealand	None explicitly stated. Based on proposition that increasing levels of complaints will impact practice	To assess the impact of receiving a medical complaint on doctors	221 doctors General practice and hospitals	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	Medical error where a patient complaint was received.
Engel <i>et al</i>	2006	USA	None explicitly stated. Based on prior findings to suggest that making an error can have a significant impact on health professionals.	To explore the emotional challenges faced by resident physicians in the event of medical mishaps.	26 resident physicians Hospital	Qualitative interview Cross-sectional Semistructured interviews.	Self-report Immediate impact	All near misses and adverse events.
Fischer <i>et al</i>	2006		None explicitly stated. Based on recommendations by oversight organisations such as the Joint Committee of the Group on Resident Affairs and Organisation of Residents Representatives that addressing medical error should be incorporated in medical training.	To identify factors and tensions in trainees learning from medical errors	59 trainee medical students and residents Hospital	Qualitative interview Cross-sectional Semistructured telephone interviews	Self-report Immediate impact	All medical errors

Continued

Table 2 Continued

Investigator(s)	Date	Location	Theoretical underpinning	Main focus	Sample and setting	Design	Outcomes	Type of error
Fisseni <i>et al</i>	2007	Europe (Germany)	None explicitly stated. Based on growing research around the development of an open culture regarding treatment errors	To gain an insight into the most serious errors GP's can recall and the factors that influence their recollection	32 General Practitioners General practice	Quantitative Cross-sectional Semistructured questionnaire	Self-report Immediate impact	Most serious medical errors recalled
Gallagher	2003	USA (St Louis)	None explicitly stated. Based on inevitability of medical errors and the need to understand how best to disclose	To determine patients and physicians view about disclosure of errors	52 patients and 46 physicians Various specialities	Qualitative Cross-sectional Focus group discussions	Self-report Immediate impact	All medical errors
Hobgood <i>et al</i>	2005	USA	Conceptual framework –context, personal beliefs, characteristics, experiences, culture and attributions influence responses and can lead to constructive or defensive behaviour change	To determine how emergency residents respond emotionally and behaviourally to errors and how this is linked to their training experiences	43 emergency medicine residents Hospital	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	All medical errors
Kadijiann <i>et al</i>	2008	USA	None explicitly stated. Based on the need for training programmes to provide opportunities to discuss errors	To explore physician's attitudes and practices around discussion of error with colleagues	338 faculty and resident physicians Hospital	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	All medical errors
Kroll <i>et al</i>	2008	(Europe) UK	None explicitly stated. Based on the lack of literature currently available exploring the experiences of junior doctors	To investigate experiences of, and responses to, medical error among junior doctors, and the challenges and support they receive	38 preregistration house officers Hospital	Qualitative interview Cross-sectional Semistructured interviews	Self-report Immediate impact	All medical errors
Lander <i>et al</i>	2006	USA	None explicitly stated. Based on the increasing likelihood of error due to increased complexity of medical care and need to learn how this affects health professionals.	To describe otolaryngologists' responses to errors and how they implement changes as a result	210 oto-laryngologists Hospital	Quantitative Cross-sectional Questionnaire (based on classification system developed by Shah <i>et al</i> , 2004)	Self-report Immediate impact	All medical errors
Martinez and Lo	2008	USA	None explicitly stated. Based on lack of information relating to student's experiences of error.	To examine student's experiences with medical errors.	147 medical students in 4th year Hospital	Qualitative Cross-sectional Written essays.	Self-report Immediate impact.	All medical errors.
Maurier <i>et al</i>	1998	Europe (UK)	Attribution theory—whether an internal or external attribution is made influences the subsequent response to an error.	To explore causal attributions made by nurses about errors and how this differs dependent on severity of the error	60 NHS nurses Various specialities	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	All medical errors
Mizrahi	1984	USA	None explicitly stated. Based on lack of literature regarding physician ideology and behaviour toward error.	To explore how internists in training acquire perceptions of mistakes and how they define and defend these mistakes	290 internal medicine house officers Hospital	3-year longitudinal Cross-sectional elements Observation, semistructured interviews and questionnaire	Observation and self-report Immediate and longer-term impact	All medical errors

Continued



Table 2 Continued

Investigator(s)	Date	Location	Theoretical underpinning	Main focus	Sample and setting	Design	Outcomes	Type of error
Muller and Ormstein	2007	USA	None explicitly stated. Based on previous findings relating to the impact of error on doctors.	To understand how trainees define errors and what factors influence their perception of these.	423 medical students and house staff Hospital	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	All medical errors
Newman	1996	USA (Philadelphia)	None explicitly stated. Based on editorial comments describing physician's anguish caused by making error.	To explore the emotional impact of family physician's most memorable mistake	30 physicians Hospital	Qualitative Cross-sectional Semistructured interviews	Self-report Immediate impact	Most memorable medical mistake
Scott <i>et al</i>	2009	USA (Missouri)	Exploratory work not theoretically driven. Based on investigations through The Office of Clinical Effectiveness (OCE) raising awareness of professional's suffering as a result of a patient event.	To explore the experiences and recovery trajectory of second victims with regard to the impact of a clinical event	31 health professionals 10 physicians, 11 registered nurses, 10 other health professionals	Qualitative Cross-sectional Semistructured interview	Self-report Immediate, ongoing and future impact	All types of clinical events that impacted the health professional
Scheibred and Nord	2007	Europe (Norway)	None explicitly stated. Based on prior literature describing the negative impact of medication errors on nurses.	To describe the experiences of nurses after making a serious medication error	10 Nurses Various specialities	Qualitative interview Cross-sectional Semistructured interviews	Self-report Immediate impact	Serious medication errors
Waterman <i>et al</i>	2007	Canada	None explicitly stated. Based on prior literature demonstrating the potential for involvement in medical error to compound job-related stress and the need for larger samples to be evaluated.	To understand how practising physicians are personally affected by errors	3171 physicians Various specialities	Quantitative Cross-sectional Questionnaire	Self-report Immediate impact	All medical errors
West <i>et al</i>	2006	USA	None explicitly stated. Based on prior literature describing distress in association with making medical errors and the need to identify the magnitude and direction of these links.	To identify the frequency of self-perceived errors and links with quality of life, burnout, depression and empathy	184 internal medicine residents Hospital	Prospective 3-year longitudinal Questionnaire (QoL scales, Maslach Burnout Inventory, depression screening, Interpersonal Reactivity Scale)	Self-report Immediate and longer-term impact	Self-perceived medical errors
Wolf <i>et al</i>	2000	USA	Hughes (1950) 'mistakes at work' theory that mistakes are common in all occupations and committed by the most and least proficient individuals. <sup>1,2</sup>	To examine responses and concerns of health professionals about making medication errors and estimated patient harm as a result	402 health professionals —208 nurses, 112 pharmacists and 82 physicians Various specialities	Mixed methods Cross-sectional Questionnaire	Self-report Immediate impact	All medication errors
Wu <i>et al</i>	2003	USA	None explicitly stated. Based on evidence to show that making an error be a powerful experience and the need to identify the impact on learning.	To learn how making medical mistakes results in subsequent changes in practice	114 house officers Hospital	Quantitative Cross-sectional Questionnaire (inc. Folkman and Lazarus 'Ways of Coping' scale)	Self-report Immediate impact	Most significant medical mistake

included the hierarchical influence within the workplace, the threat of litigation and experiences of error. Lower-grade workers experienced disapproving responses to error disclosures by managers and were discouraged from disclosing errors by senior colleagues.<sup>27 31</sup> Such negative attitudes appeared to be linked to the fear of litigation and professional loyalty. Professionals indicated that discussing error was unsafe, as it may lead to punitive action against those involved, which may damage relationships with colleagues.<sup>15 17 32 33</sup> Such fear was highlighted as a key barrier to the discussion and reporting of error. Conversely, Waterman *et al* reported that 90% of physicians experienced inadequate support with colleagues 'turning a blind eye' following an error.<sup>13</sup> However, interestingly, good colleague support was found to be valuable in recovery from an error.<sup>52</sup> Despite the lack of studies that explicitly address factors that influence the coping of staff following an error, the research described here suggests that attitudes, culture and colleague relationships may be important.

### Moderating factors and implications for practice

The extent to which the response to error varies as a function of clinical setting and professional group is not clear. A disproportionate amount of research is conducted within hospital settings<sup>16</sup> compared with primary care.<sup>1</sup> Despite the remainder of studies<sup>8</sup> drawing together a sample from across these two care environments, comparisons were not made between these two respondent groups. This has implications for the management of error in primary care settings when so little is known about the experiences of staff in these settings. A greater proportion of studies focused on either trainee or experienced physician samples<sup>19</sup> in comparison with nurses,<sup>5</sup> but differences in the nature of the research and responses were evident between these professional groups, such that research with nurses was predominantly qualitative with research questions directed at the discussion of feelings, emotions and the meaning of making an error to these individuals. Nurses were reflective in their response to making an error, with these events having an influence over the way they felt about themselves and how they work.<sup>26 30</sup> Nursing samples were also consistent in raising a feeling of personal responsibility for an error and the commitment to reporting such incidents regardless of an increased likelihood of being blamed.<sup>14 24 26 30</sup> Papers featuring trainee samples highlighted the learning element of making an error, focussing on clinical outcomes and change in practice.<sup>16 19 31 34</sup> All studies that explored only adverse events, or the most memorable or significant error, included samples limited to physicians, resulting in increased focus on the activities taken to manage the clinical outcomes of the error and professional repercussions of these events.<sup>15 22 29 32 35</sup> Comparisons of the emotional responses of these different professional groups are hampered by the different research questions posed for the two group. This also has implications for attempts to use current knowledge about the impact of error to inform change.

## DISCUSSION

This is the first review to take a systematic and structured approach to identifying the current state of research into the attitudes, responses and coping strategies of health professionals who make errors. Twenty-three studies, across a number of healthcare settings, were reviewed.

### Key findings

Little is known about the proportion of individuals who suffer as a consequence of making an error, or the relationship between

severity of error and the experience of distress. Nevertheless, the literature suggests that following an error feelings of shame, guilt, anxiety, fear, self-doubt and depression are consistently reported. Making an error has implications for professional and personal life, threatening reputation, self-confidence, and relationships with colleagues and patients.<sup>13–15 17 35</sup> Medical culture drives the development and maintenance of attitudes that influence responses to and management of error, such that a lack of formal support and poor institutional handling of errors are frequently reported.<sup>4 16 18 27 31</sup> Interestingly, although peer support is important for both individual and team recovery, willingness to provide such support to colleagues following an error is lacking.<sup>13</sup> The six-stage recovery trajectory proposed by Scott *et al* reflected many of the key findings from this review and invites further investigation on a larger scale towards a basis for the development of institutional support.<sup>17</sup>

Despite the developing body of research, many issues are yet to be addressed. Gaps in knowledge currently exist around coping, formal and informal support systems and longer-term outcomes for the professional. Greater discussion of successful coping could be highly informative for intervention design. To date, the potential moderators of the emotional response, which may include error severity, colleague relationships, workplace culture and individual characteristics such as personality, have not been studied explicitly. These factors could be central in understanding the circumstances in which support would be beneficial, and may alert both individuals and healthcare institutions of the circumstances in which an error may have the most severe repercussions. Although the negative impact of an error has been well documented, there is little consideration of the possible positive affective outcomes that may allow a more constructive use of error for learning and improvement.

### Methodological limitations of reviewed papers

The wide range of measurement tools found in the review may be advantageous in one sense, allowing for a broad range of outcomes to be assessed, but at the same time this makes comparisons across studies difficult. For instance, no two quantitative studies use the same instrument to assess attitudes or emotional response. Similarly, there is an absence of commonly used general health and specific mental health measures such as the General Health Questionnaire or Beck Depression Inventory.<sup>36 37</sup>

A large number of studies rely on survey methods which may not be sufficient to study such potentially intense emotional reactions. Furthermore, the reliance on cross-sectional data in 21 of the 23 studies means that it is not possible to disentangle cause and effect, and longer-term outcomes of the error are neglected. The latter may be key in the management of error. The links drawn between the severity of an error and the emotional response are questionable because of the use of self-reports to measure severity.<sup>4 19 26</sup> A final concern is that although there is diversity across the studies in terms of job types, specialism and socialisation into medicine, only one study uses a large multidisciplinary sample.<sup>27</sup> Many other studies focus specifically on one group, for example physicians, nurses and medical trainees, and are often reliant on small samples. It is therefore difficult to draw conclusions across study findings, and to generalise beyond each sampled group.

### Methodological weaknesses of review

First, it is possible that the search strategy did not locate all the relevant studies. However, this possibility was minimised by

consulting an information scientist in the development of search terms and the selection of databases. Nonetheless, even in the event of a faultless search strategy, the problem of publication bias means that important negative findings from unpublished research may have been omitted.

Furthermore, there is evidence that the level of sensitivity and precision of bibliographic databases is dependent on the topic searched, and this may affect the number of articles returned.<sup>38</sup> The efficacy of database searches to capture articles in the area of patient safety appears yet to be evaluated, but the implication from other health-related research is that some articles will be missing.<sup>38 39</sup> This issue was addressed through the use of several databases to broaden coverage, and additional hand searching.

## SUMMARY

This review identified 23 studies investigating the response and coping of health professionals following experience of an error. Consistent findings support the existence of an intense emotional response, and the impact of error on individuals' professional and personal lives. Current research fails to fully address how individuals cope with making an error, and the longer-term impact of this on themselves and those around them, including their patients. Barriers that prevent professionals receiving the necessary support include the negative attitudes towards error in the culture of medicine, the threat of professional loss and the lack of available institutional support. Further research requires the exploration of the full life cycle of clinician experiences following error, from immediate responses to longer-term impact, as well as the role of organisational culture and preregistration education.

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## Appendix 2 – Quality Scoring Guidelines

<b>Criteria</b>	<b>0 = Not at all</b>	<b>1 = Very slightly</b>	<b>2 = Moderately</b>	<b>3 = Complete</b>
<b>Explicit theoretical framework</b>	<i>No mention at all.</i>	<i>Reference to broad theoretical basis.</i>	<i>Reference to a specific theoretical basis.</i>	<i>Explicit statement of theoretical framework and/or constructs applied to the research.</i>
<b>Statement of aims/objectives in main body of report</b>		<i>General reference to aim/objective at some point in the report.</i>	<i>Reference to broad aims/objectives in main body of report.</i>	<i>Explicit statement of aims/objectives in main body of report.</i>
<b>Clear description of research setting</b>		<i>General description of research area and background e.g. ‘in primary care’.</i>	<i>General description of research problem in the target population e.g. ‘amongst GPs in primary care’.</i>	<i>Specific description of the research problem and target population in the context of the study e.g. nurses and doctors from GP practices in the east midlands.</i>
<b>Evidence of sample size considered in terms of analysis</b>		<i>Basic explanation for choice of sample size. Evidence that size of the sample has been considered in study design.</i>	<i>Evidence of consideration of sample size in terms of saturation/ information redundancy or to fit generic analytic requirements</i>	<i>Explicit statement of data being gathered until information redundancy/ saturation was reached or to fit exact calculations for analytic requirements</i>

<p><b>Representative sample of target group of a reasonable size</b></p>	<p><i>No statement of target group.</i></p>	<p><i>Sample is limited but represents some of the target group or representative but very small.</i></p>	<p><i>Sample is somewhat diverse but not entirely representative e.g. inclusive of all age groups, experience but only 1 workplace. Requires discussion of target population to determine what sample is required to be representative.</i></p>	<p><i>Sample includes individuals to represent a cross-section of the target population, considering factors such as experience, age, and workplace.</i></p>
<p><b>Description of procedure for data collection</b></p>		<p><i>Very basic and brief outline of data collection procedure e.g. 'using a questionnaire distributed to staff'.</i></p>	<p><i>States each stage of data collection procedure but with limited detail, or states some stages in details but omits others.</i></p>	<p><i>Detailed description of each stage of the data collection procedure, including when, where and how data was gathered.</i></p>
<p><b>Rationale for choice of data collection tool(s)</b></p>		<p><i>Very limited explanation for choice of data collection tool(s).</i></p>	<p><i>Basic explanation of rationale for choice of data collection tool(s) e.g. based on use in a prior similar study.</i></p>	<p><i>Detailed explanation of rationale for choice of data collection tool(s) e.g. relevance to the study aims and assessments of tool quality either statistically e.g. for reliability &amp; validity, or relevant qualitative assessment.</i></p>

<b>Detailed recruitment data</b>		<i>Minimal recruitment data e.g. no of questionnaire sent and no. returned.</i>	<i>Some recruitment information but not complete account of the recruitment process e.g. recruitment figures but no information on strategy used.</i>	<i>Complete data regarding no. approached, no. recruited, attrition data where relevant, method of recruitment.</i>
<b>Statistical assessment of reliability and validity of measurement tool(s) (Quantitative only)</b>		<i>Reliability and validity of measurement tool(s) discussed, but not statistically assessed.</i>	<i>Some attempt to assess reliability and validity of measurement tool(s) but insufficient e.g. attempt to establish test-retest reliability is unsuccessful but no action is taken.</i>	<i>Suitable and thorough statistical assessment of reliability and validity of measurement tool(s) with reference to the quality of evidence as a result of the measures used.</i>
<b>Fit between stated research question and method of data collection (Quantitative)</b>	<i>No research question stated.</i>	<i>Method of data collection can only address some aspects of the research question.</i>	<i>Method of data collection can address the research question but there is a more suitable alternative that could have been used or used in addition.</i>	<i>Method of data collection selected is the most suitable approach to attempt answer the research question</i>
<b>Fit between stated research question and format and content of data collection tool e.g. interview schedule (Qualitative)</b>	<i>No research question stated.</i>	<i>Structure and/or content only suitable to address the research question in some aspects or superficially.</i>	<i>Structure &amp; content allows for data to be gathered broadly addressing the stated research question(s) but could benefit from greater detail.</i>	<i>Structure &amp; content allows for detailed data to be gathered around all relevant issues required to address the stated research question(s).</i>

<b>Fit between research question and method of analysis (Quantitative)</b>		<i>Method of analysis can only address the research question basically or broadly.</i>	<i>Method of analysis can address the research question but there is a more suitable alternative that could have been used or used in addition to offer greater detail.</i>	<i>Method of analysis selected is the most suitable approach to attempt answer the research question in detail.</i>
<b>Good justification for analytic method selected</b>		<i>Basic explanation for choice of analytic method</i>	<i>Fairly detailed explanation of choice of analytic method.</i>	<i>Detailed explanation for choice of analytic method based on nature of research question(s)</i>
<b>Assessment of reliability of analytic process (Qualitative only)</b>		<i>More than 1 researcher involved in the analytic process but no further reliability assessment.</i>	<i>Limited attempt to assess reliability e.g. reliance on one method.</i>	<i>Use of a range of methods to assess reliability e.g. triangulation, multiple researchers, varying research backgrounds.</i>
<b>Evidence of user involvement in design</b>		<i>Use of pilot study but no involvement in planning stages of study design.</i>	<i>Pilot study with feedback from users informing changes to the design.</i>	<i>Explicit consultation with steering group or statement or formal consultation with users in planning of study design.</i>
<b>Strengths and limitations critically discussed</b>		<i>Very limited mention of strengths and limitations with omissions of many key issues.</i>	<i>Discussion of some of the key strengths and weaknesses of the study but not complete.</i>	<i>Discussion of strengths and limitations of all aspects of study including design, measures, procedure, sample &amp; analysis.</i>



Appendix 3 - Quality assessment criteria & percentage of max. possible score achieved

<i>Criteria</i>	<i>All</i> (%)	<i>Quantitative</i> (%)	<i>Qualitative</i> (%)	<i>Mixed</i> (%)
Explicit theoretical framework	8.3 <sup>1</sup>	9 <sup>2</sup>	6.1 <sup>3</sup>	16.7 <sup>4</sup>
Statement of aims/objectives in main report	70.9 <sup>1</sup>	69 <sup>2</sup>	66.7 <sup>3</sup>	50 <sup>4</sup>
Clear description of research setting	79.2 <sup>1</sup>	76.2 <sup>2</sup>	75.6 <sup>3</sup>	66.7 <sup>4</sup>
Evidence of sample size considered in terms of analysis	5.6 <sup>1</sup>	4 <sup>2</sup>	6.1 <sup>3</sup>	0 <sup>4</sup>
Representative sample of target group of a reasonable size	70.8 <sup>1</sup>	76.2 <sup>2</sup>	57.6 <sup>3</sup>	100 <sup>4</sup>
Description of procedure for data collection	77.8 <sup>1</sup>	61.9 <sup>2</sup>	90.9 <sup>3</sup>	66.7 <sup>4</sup>
Rationale for choice of data collection tool(s)	50.0 <sup>1</sup>	35.7 <sup>2</sup>	63.6 <sup>3</sup>	16.7 <sup>4</sup>
Detailed recruitment data	63.9 <sup>1</sup>	50 <sup>2</sup>	75.6 <sup>3</sup>	66.7 <sup>4</sup>
Statistical assessment of reliability and validity of measurement tool(s) (Quantitative)	38.5 <sup>1</sup>	35.7 <sup>2</sup>	-	33.3 <sup>4</sup>
Fit between research question and method of data collection (Quantitative)	64.1 <sup>1</sup>	59.5 <sup>2</sup>	-	33.3 <sup>4</sup>
Fit between research question and format and content of data collection tool e.g. interview schedule (Qualitative)	61.1 <sup>1</sup>	-	69.7 <sup>3</sup>	33.3 <sup>4</sup>
Fit between research question and method of analysis (Quantitative)	76.9 <sup>1</sup>	69 <sup>2</sup>	-	66.7 <sup>4</sup>
Good justification for analytic method selected	31.9 <sup>1</sup>	28.6 <sup>2</sup>	33.3 <sup>3</sup>	0 <sup>4</sup>
Assessment of reliability of	38.5 <sup>1</sup>	-	45.5 <sup>3</sup>	0 <sup>4</sup>

analytic process (Qualitative)				
Evidence of user involvement in design	13.9 <sup>1</sup>	16.7 <sup>2</sup>	6.1 <sup>3</sup>	0 <sup>4</sup>
Strengths and limitations critically discussed	55.6 <sup>1</sup>	54.8 <sup>2</sup>	51.5 <sup>3</sup>	16.7 <sup>4</sup>
<b>Total score</b>	490/987	272/462	238/429	34/96
<b>% of max. possible score</b>	49.6 <sup>5</sup>	58.9 <sup>5</sup>	55.5 <sup>5</sup>	35.4 <sup>5</sup>

<sup>1</sup> Percentage of all 24 quality assessed papers

<sup>2</sup> Percentage of all 13 quantitative and mixed methods papers only

<sup>3</sup> Percentage of all 13 qualitative and mixed methods papers only

<sup>4</sup> Percentage of the 2 mixed methods papers only

<sup>5</sup> Percentage of maximum quality assessment score (no. of relevant quality criteria x no. of papers)

## **Appendix 1 – Jackson, Cheater & Reid (2008) Quality Assessment Criteria**

1. Explicit theoretical framework/literature review
2. Clear aims and objectives
3. Clear description of focal decision
4. Clear description of setting
5. Clear description of sample
6. Appropriate sampling procedure
7. Clear description of data collection
8. Clear description of data analysis
9. Evidence of critical reflection
10. Provision of recruitment data
11. Provision of attrition data
12. Valid and reliable outcomes
13. Findings reported for each outcome
14. Description of validity/reliability of results
15. Sufficient original data
16. Evidence of consumer involvement
17. Strengths and limitations stated